

Holographic patterning of high-performance on-chip 3D lithium-ion microbatteries

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As sensors, wireless communication devices, personal health monitoring systems, and autonomous microelectromechanical systems (MEMS) become distributed and smaller, there is an increasing demand for miniaturized integrated power sources. Although thin-film batteries are well-suited for on-chip integration, their energy and power per unit area are limited. Three-dimensional electrode designs have potential to offer much greater power and energy per unit area; however, efforts to date to realize 3D microbatteries have led to prototypes with solid electrodes (and therefore low power) or mesostructured electrodes not compatible with manufacturing or on-chip integration. Here, we demonstrate an on-chip compatible method to fabricate high energy density ($6.5 \mu\text{Wh cm}^{-2}\mu\text{m}^{-1}$) 3D mesostructured Li-ion microbatteries based on LiMnO_2 cathodes, and NiSn anodes that possess supercapacitor-like power ($3,600 \mu\text{W cm}^{-2}\mu\text{m}^{-1}$ peak). The mesostructured electrodes are fabricated by combining 3D holographic lithography with conventional photolithography, enabling deterministic control of both the internal electrode mesostructure and the spatial distribution of the electrodes on the substrate. The resultant full cells exhibit impressive performances, for example a conventional light-emitting diode (LED) is driven with a $500\text{-}\mu\text{A}$ peak current (600-C discharge) from a $10\text{-}\mu\text{m}$ -thick microbattery with an area of 4 mm^2 for 200 cycles with only 12% capacity fade. A combined experimental and modeling study where the structural parameters of the battery are modulated illustrates the unique design flexibility enabled by 3D holographic lithography and provides guidance for optimization for a given application.

energy storage | microelectronics | miniature batteries | lithium-ion batteries | interference lithography

Microscale devices typically use power supplied off-chip because of difficulties in miniaturizing energy storage technologies (1, 2). However, a miniaturized on-chip battery would be highly desirable for applications including autonomous microelectromechanical systems (MEMS)-based actuators, microscale wireless sensors, distributed monitors, and portable and implantable medical devices (3–8). For many of the applications, high energy density, high power density (charge and/or discharge), or some combination of high energy and power densities is required, all characteristics which can be difficult to achieve in a microbattery due to size and footprint restrictions, and process compatibilities with the other steps required for device fabrication. Although 2D thin-film microbatteries (typical thickness of a few micrometers) can deliver high power, they require large (often cm^2) footprints to provide reasonable energies (9). Making the electrodes thicker boosts the theoretical areal energy density but the resultant increases in electron and ion diffusion lengths reduce the effective power and energy densities. Efforts to improve microbattery performance have focused on increasing the electrode surface area and active material loading in the third dimension. Electrodes based on high-aspect-ratio micropillar structures, realized via methods including electrodeposition, polymer pyrolysis, and vapor deposition techniques, have been demonstrated (10–14). Despite the improved energy density compared with 2D batteries, because

the micropillar electrodes are solid, the power and effective energy density is still limited due to the resultant long ion and electron diffusion pathways. Mesostructured 3D electrodes derived from nanowires or nanotubes have potential for achieving high energy and power densities, but difficulties in synthesis and full-cell assembly have limited these electrodes to half-cell demonstrations (15–18).

As we demonstrated via a colloidal templating strategy (19–21), electrodes consisting of a layer of electrolytically active materials directly grown on a mesostructured 3D porous current collector can offer both high energy and power densities by providing efficient electron pathways, short solid-state ion diffusion lengths, and a pore network for Li-ion transport. Unlike for a micropillar, all of the major internal resistances of the microbattery can be simultaneously minimized. The colloiddally templated 3D mesostructured electrodes were first fabricated in a half-cell configuration, and the resulting electrode indeed delivered supercapacitor-like power (e.g., 40% energy discharge in 3 s) while maintaining battery-like energy (19). We subsequently fabricated a microbattery (full cell) with an unprecedented $2,000\times$ increase in power compared with previous microbatteries (22) via a similar approach. However, colloiddally templated microbatteries contain a number of serious limitations. From a purely practical standpoint, a fabrication approach that involves growth of a colloidal crystal from a colloidal suspension on a substrate is unlikely to be acceptable in a manufacturing environment, because it is slow, and submicrometer colloidal particles are generally unwelcome in a microfabrication facility. The mesostructure and connectivity of the colloiddally templated system is severely restricted by the close-packed particles, allowing almost no freedom to alter the structure of a unit cell. Finally, all colloidal crystals contain defects (e.g., cracks), which end up in the final

Significance

Microscale batteries can deliver energy at the actual point of energy usage, providing capabilities for miniaturizing electronic devices and enhancing their performance. Here, we demonstrate a high-performance microbattery suitable for large-scale on-chip integration with both microelectromechanical and complementary metal-oxide–semiconductor (CMOS) devices. Enabled by a 3D holographic patterning technique, the battery possesses well-defined, periodically mesostructured porous electrodes. Such battery architectures offer both high energy and high power, and the 3D holographic patterning technique offers exceptional control of the electrode's structural parameters, enabling customized energy and power for specific applications.

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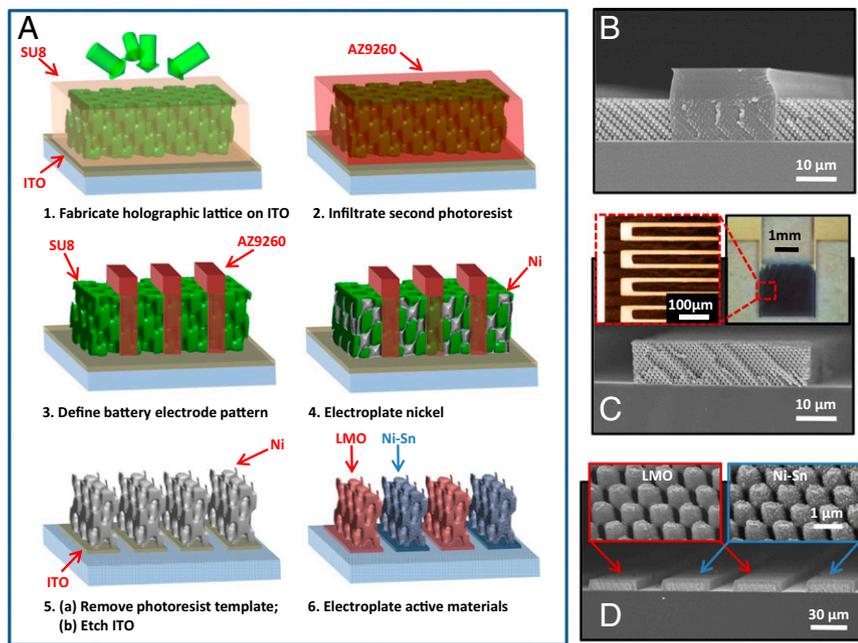


Fig. 1. Schematic illustrations and images of 3D microbatteries enabled by combining 3D holographic and conventional photolithographies. (A) Three-dimensional microbattery fabrication process (I): create 3D lattice (SU-8) on an ITO substrate using holographic lithography (II), infiltrate the 3D holographic structure with another photoresist (AZ9260) (III), photopattern the second photoresist to define the microbattery electrode (IV), invert the photoresist template by nickel electrodeposition (V), remove the photoresist template by oxygen RIE and etch the exposed ITO layer by methane RIE, and (VI) sequentially electroplate nickel-tin (Ni-Sn) and manganese oxide on the interdigitated 3D nickel current collector as anode and cathode, followed by a selective lithiation with a drop of $\text{LiNO}_3/\text{LiOH}$ molten salt to produce LMO. (B) Scanning electron microscopy (SEM) cross-section of the photopatterned AZ9260 resist embedded inside the 3D holographic lattice. (C) SEM cross-section of a single digit of the interdigitated nickel scaffold. (Insets) Top-down optical micrograph (Right) and an enlarged view (Left) of the interdigitated nickel current collector (area: 4 mm^2). (D) Cross-section SEM image of the interdigitated electrodes that alternate between LMO cathode (Left Inset) and Ni-Sn anode (Right Inset).

electrode structure as solid elements (the inverse of a crack). These solid elements locally limit ion transport and make capacity matching between anode and cathode difficult, which may in part be why the cycle life of the colloiddally templated microbattery was low. In contrast with colloidal assembly and other 3D fabrication techniques such as direct laser (ink) writing (which produces 3D structures in a point-to-point or layer-by-layer fashion) (23, 24), 3D holographic lithography generates periodic, defect-free features ($50\sim 1,000 \text{ nm}$) in a one-step exposure (\sim seconds) over an area that is linearly proportional to the beam size. It can create a variety of complex 3D structures by varying the beam patterns and exposure conditions. Although accurate control on the interfering optical beams is required to construct 3D holographic lithography, recent advances have significantly simplified the required optics, enabling creation of structures via a single incident beam and standard photoresist processing, making it highly scalable and compatible with microfabrication (25, 26).

Here, we describe a flexible and deterministic 3D fabrication route which combines 3D holographic lithography with conventional photolithography to create microbatteries with good cycle lives, and high power and energy densities using complementary metal-oxide-semiconductor (CMOS) and microfluidic device-compatible processing steps. The holographic lithography creates a defined periodically mesostructured 3D lattice, whereas the conventional photolithography defines a set of 2D solid structures that divide the 3D lattice into an interdigitated pattern. The combination of these two lithography processes provides comprehensive control of the electrode mesostructure and spatial arrangement, enabling formation of flat-sided and topped 3D current collectors with independently deposited high-quality cathode and anode active materials. The resultant full cells exhibit energy and power densities up to $6.5 \mu\text{Wh cm}^{-2}\cdot\mu\text{m}^{-1}$ (energy-optimized electrode spacing) and $3,600 \mu\text{W cm}^{-2}\cdot\mu\text{m}^{-1}$ (power-optimized electrode spacing), with less than 5% cell-to-cell distribution. The cells retain at least 80% of the initial capacity after cycling 100 times at various rates, and 88% of the initial capacity after driving a light-emitting diode (LED) for 200 cycles. The capacity for fast charging and discharging endues the microbattery with rather unexpected capabilities for a battery which is only 2 mm on a side and $\sim 10 \mu\text{m}$ thick, for example the battery could repeatedly (>200 cycles) drive a conventional LED with a 600-C

peak current ($\sim 0.5 \text{ mA}$). A combined experimental and modeling study where the structural parameters of the battery are modulated illustrates the unique design flexibility enabled by 3D holographic lithography and provides guidance for optimization for a given application.

Design and Fabrication of Deterministically Structured 3D Microbatteries

The fabrication of the microbattery combines both 3D holographic lithography and 2D photolithography. In principle, both these lithographic steps can be performed on a single negative photoresist film. However, a holographically defined 3D lattice made of negative photoresist (e.g., SU-8) suffers from a volume shrinkage of $\sim 40\%$ during development, whereas the 2D pattern formed from the same photoresist only shrinks $\sim 7\%$ during development, resulting in a large structural distortion. To circumvent this, a thick 3D lattice is formed using SU-8, and the SU-8 network is subsequently infiltrated with another photoresist to pattern the electrodes as illustrated in Fig. 1A. Specifically, a 3D holographically defined lattice (typical periodicity: $\sim 1 \mu\text{m}$; porosity: $\sim 40\%$) is created on indium tin oxide (ITO)-coated glass by four interfering laser beams arranged in an umbrella geometry (27, 28). Because the intensity, polarization, and angle of each beam can be individually defined, this method offers considerable flexibility in the design of the holographic structure. The electrode distribution is then photolithographically defined with a positive photoresist (AZ9260). Fig. 1B shows the cross-sectional view of the resultant structure, where the second resist forms solid straight walls inside the 3D lattice. Next, Ni is electrodeposited partially through the porous SU-8 lattice. Notably, compared with our previous microbattery design where the Ni electrodeposition step created hemispherical-shaped electrode digits due to the unconfined concurrent vertical and lateral growth of the Ni scaffold (22), which was undesirable due to inefficient use of the device space and potential for short formation due to dendrite growth during Ni electrodeposition, the Ni growth here is confined by the vertical photoresist walls, providing independent control over the scaffold height (Ni plating time) and width (photolithographic step). The microbattery current collector is revealed after the photoresist template is removed by oxygen reactive ion etching (RIE). The final current collector consists of a 4-mm^2 area interdigitated 3D porous Ni

densities as well as excellent potential for practical applications. By carefully matching the electrode capacities and precycling the anode to form a necessary SEI layer, the cycle life of the full cell is much improved compared with previous works. The experiments and modeling suggest that the energy and power of the microbattery are strongly related to the structural parameters of the electrodes such as size, shape, surface area, porosity, and tortuosity. A significant strength of the method presented here is that these parameters can be easily controlled during lithography steps, which offers unique flexibility for designing next-generation on-chip energy storage devices.

Materials and Methods

Fabrication of Interdigitated 3D Porous Nickel Scaffolds. The preparation of 3D holographic templates begins with spin-coating (1,500 rpm, 30 s) SU-8 2015 (Micro-Chem) onto ITO substrates (Delta Technologies, sheet resistance: $\sim 100 \Omega$). To increase the photosensitivity of SU-8 at 532 nm, the photoresist is doped with 0.5 wt % solid content of photoinitiator, cyclopentadienyl(fluorene) iron(II) hexafluorophosphate (Aldrich). The SU-8 film is then exposed to four interfering laser beams arranged in an umbrella geometry for 0.5 s (27). After development, the 3D lattice is hard-baked at 95 °C for 15 min and subsequently infiltrated with the positive photoresist AZ9260 (Microchemicals) by spin-coating (3,000 rpm, 50 s). The infilled AZ9260 resist is photopatterned using a standard photolithography procedure and then hard-baked at 125 °C for 2 min. Next, nickel electrodeposition is performed potentiostatically at -2 V in a commercial sulfamate nickel plating solution

(Transene, SN-10), which is followed by removing the polymer template by O_2 RIE (20 sccm) with 500-mtorr pressure and 200-W power for 1 h and sequentially revealing the interdigitated 3D nickel current collectors.

Deposition and Processing of Active Materials. Before electrodeposition, the scaffold is briefly washed in a dilute HCl solution to remove the nickel oxide introduced during RIE. Ni-Sn is pulse-plated (0.2 s at -0.22 V, 10 s off) onto one electrode as anode in an electrolyte composed of 0.06 M NiCl₂, 0.2 M SnCl₂, 1 M K₄P₂O₇, 0.04 M potassium sodium tartrate, and 0.04 M glycine. MnO₂ is then electroplated (0.2 s at 1.8 V, 10 s off) onto the other electrode as cathode using a plating solution that contains 0.1 M Na₂SO₄, 0.1 M CH₃COONa, and 0.1 M MnSO₄. To lithiate the electrolytic MnO₂, a small amount of LiNO₃/LiOH molten salt (1:1 molar ratio) is selectively placed onto the microbattery and heated at 300 °C for 30 min. Once the sample is cooled to room temperature, it is rinsed with deionized water and dried at 150 °C in Ar for 2 h. The anode is then precycled independently six times versus lithium metal at 1 C in a 1 M LiClO₄ electrolyte (1:1 mass ratio ethylene carbonate:dimethyl carbonate). The full cell is finally capped with PDMS and glass and sealed with UV-curable resin (Addison Clear Wave, AC A1705-TX).

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